

## Sophisticated Investor Acknowledgment

By signing this Sophisticated Investor Acknowledgement, I agree that I have requested that IC Markets consider my experience for the purposes of becoming a Sophisticated Investor as defined under s761 GA Corporations Act 2001 (Cth) ('the Act').

I wish to apply using the following method of qualification

- A**  Have an annual income of at least AUD\$100,000 or assets of AUD\$500,000  
AND  
I can demonstrate I have traded leveraged FX or CFDs 20 times per quarter for at least 4 quarters in the preceding 2 years with a notional value of AUD\$1,000,000 or more

Or

- B**  I can demonstrate that I Work or have worked in the financial sector for at least one year in a professional position which requires knowledge of leveraged trading(or FX or CFDs)

**To verify this qualification, we will request you to provide proof of the option you selected (i.e annual income statement or work agreement).**

### For Option A

- Proof of income or
- Proof of assets under your name
- Proof of trading with other Forex Borkers in case we cannot verify the criteria with your account/s with us

### For Option B

Proof of work agreement under your name, in a position that qualifies for knowledge of leverage instruments (CFDs or Forex or general trading).

I certify that I do genuinely believe I have the experience and knowledge required to be able to understand the risks and product features associated with CFD and FX trading. Including:

- I have the experience and capacity to make investment decisions without the benefit of regulated disclosure documents issued to retail clients in accordance with the Corporations Act.
- I have satisfied myself that I understand the legal and financial implications of being a Sophisticated Investor.
- I understand that, in being classified as a Sophisticated Investor, certain protections applicable to retail clients will not apply to me.
- I will not be acquiring financial products with IC Markets in connection with a business.
- I understand that IC Markets may withdraw my status as a wholesale client\*, and treat me as a retail client, at any time at its absolute discretion.

I acknowledge that as a Sophisticated Investor:

- IC Markets will no longer provide me with a Product Disclosure Statement, Financial Services Guide or any other document that is required to be provided to a retail client. Where these documents have already been provided, I understand that those documents will no longer apply to me.
- IC Markets does not have any obligation to me under Chapter 7 of the Act as would be provided to me as a retail client unless otherwise specified in the Act.

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## Sophisticated Investor Acknowledgment

I acknowledge that I have read and, should I be approved as a IC Markets **Pro**, I agree to be bound by:

- The Wholesale Client Information Statement; and
- IC Markets Terms and Conditions..

I understand and agree that any open positions I hold will be treated as wholesale effective from the date of my IC Markets **Pro** classification.

If there are any inconsistencies between the Terms and Conditions and the Wholesale Client Information Statement, the **Wholesale Client Information Statement** will take precedence.

I declare that all information I have provided to IC Markets as part of my submission is complete, accurate and is not misleading in any way.

I agree to notify IC Markets as soon as possible if my circumstances change in a way which may reasonably impact my ability to meet the minimum standards set out by IC Markets for IC Markets **Pro** classification.

### SIGNATURE

Full Name

Signature

Date (DD/MM/YYYY)

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